

# Quality assessment of administrative data sources

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### **Quality assessment**

- An integral part of the census operation regardless of types of census methodologies
- Overarching process covering all phases of censuses-quality of one phase has an impact on the quality of next phase
  - ✓ Quality assessment process is designed differently for censuses which are conducted with administrative data sources compared to the traditional census





### What we will learn

- How to design quality assessment process ?
- ✓ **Stages** of the quality assessment for administrative data sources
- What to check in each stage ?
- ✓ **Dimensions** (components) of the quality assessment
- How to measure quality
- ✓ Indicators for measuring quality





### Stages of quality assessment

#### **Four stages**

Source quality

Input data quality

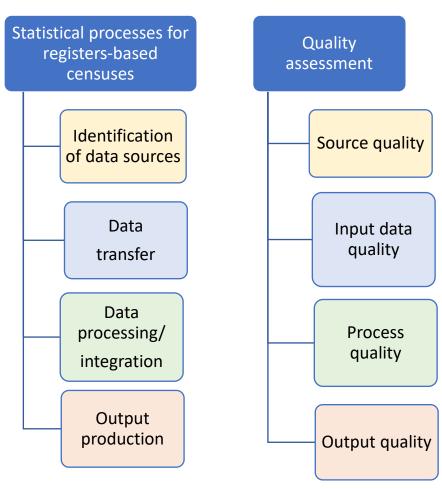
Process quality

Output quality



#### Stages of quality assessment for administrative data sources

- ☐ Stages of the quality assessment of administrative registers and the census data derived from them are <u>broadly corresponding</u> to the stages of the statistical processes of the census
- □ Designing the quality assessment process through <u>these four stages will help ensure that</u> <u>census estimates are based on the most</u> <u>appropriate sources and methods</u>





### **Quality assessment dimensions**



**Relevance** - the degree to which statistical outputs meet current and potential user needs, in terms of data availability, concepts and definitions

**Accuracy and reliability-** the degree to which the information correctly describes the phenomena, such as usual resident population

**Timeliness** - the delay between the date to which the data refer (census day) and the date on which the information becomes available

**Coherence and comparability**- the degree to which data that are derived from different sources or methods, are similar, – the degree to which data re comparable over time - the degree of consistency across data sources and time

**Accessibility and interpretability**- the ease with which users are able to access census data — availability of metadata describing about sources, methods and definitions



### Stages of quality assessment

Source quality

Input data quality

Process quality

Output quality

Quality assessment of administrative data sources
Metadata-based assessment

-Quality assessment of **raw admin data**, as it is supplied to NSO by the administrative authorities -Assessment of changes in the quality of data which results from data integration and processing of the admin data

- Overall quality assessment of the statistical results as disseminated to users

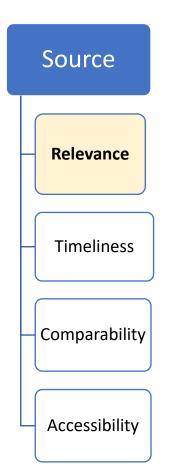


#### **Quality dimensions of each stage**

#### Input data Source **Process** Output -Accuracy -Harmonization -Relevance -Relevance /record linkage /Validation -Timeliness -Accuracy - Coverage -Coherence/ -Accuracy -Timeliness - Conflict -Timeliness comparability -Coherence between data -Accessibility -Linkability /comparability sources -Accessibility -Editing /interpretability /imputation



#### **Source quality (1)**



Assessment of Representation errors and Measurement errors

#### Representation error

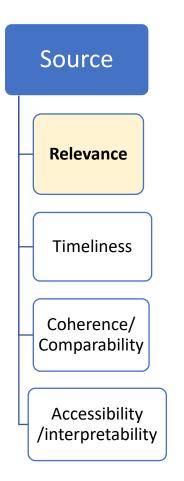
- Alignment of the units in the register with the census target units (persons, households, housing units)
- Information about what laws and regulations define who will be included/excluded in administrative data sources
- Information about what methods/procedures are used to include/update/exclude units

#### Assessment indicator

- \* does the coverage of the population register meet the needs of the census?
- \* the evidence of under and/or over-coverage —make an assessment for all population groups that should be included in the population register



#### **Source quality dimensions**



#### Measurement error

 Alignment of concepts and definitions of variables in the registers with the concepts and definitions of the census topics

\*whether or not the register includes the variables needed for the census?

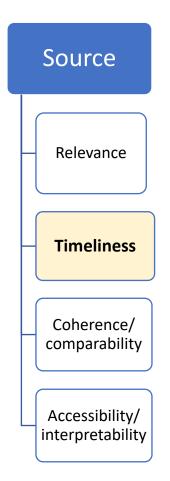
\*whether or not the administrative concepts, definitions and classifications for such variables are consistent with those adopted in the census?

\*in case of inconsistency, whether a transformation of the variables is possible to satisfy the requirements of the census?

\*if not possible, whether or not it would provide similar information?



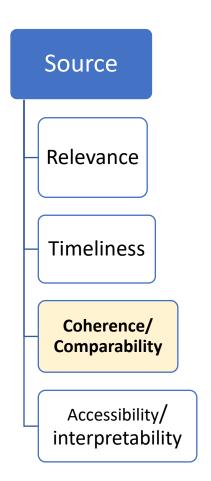
#### **Source quality- Timeliness**



- The difference between the reference date to which the data refer and the date on which they are supplied to the NSO- the longer the delay the less relevant
- Some examples of information that can be used to assess timeliness
  - \*what is the time lag between date of occurrence and date of registration?
  - \* What is the time lag between date of registration and date on which the data are supplied to the NSO?
  - \* whether or not the register has been completely updated when provided to the NSO?
  - \* how frequently the data can be supplied to the NSO for updates or new persons or dwellings?



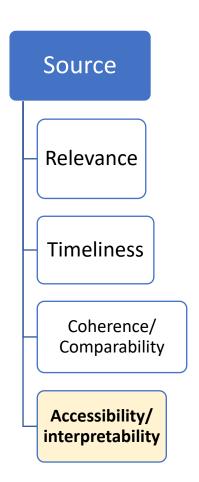
#### Source quality- Coherence and comparability



- assess the degree to which an administrative source can be successfully
   linked and combined with other data sources for use in the census
- Some examples of information that can be used for this assessment :
  - \* Does the source include a unique identifier (such as PIN) that is common with the unique key required for the census linkage?
  - \* If so, is the identifier available for all of the relevant individuals/ addresses in the source or only for special population groups or geographic areas?
  - \* Does the source include a unique combination of variables (such as name, date of birth and address), which could be used for the census linkage?



#### Source quality- Accessibility and interpretability



It is important to **identify any restrictions that may impact on the NSO's ability to acquire and use** an administrative source, such as existing data protection restrictions

\*What is the level of public acceptability?

Whether or not an NSO decides to access a particular data source for use in the census may also depend on public acceptance

\*How easy is it to transfer data?

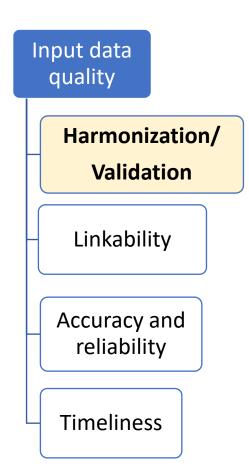
The data supplier might adopt very different data models, formats, schemas, software and hardware to that with which the NSO is familiar

\* Is there clear and comprehensive metadata?

An assessment of interpretability relates to the existence and availability of comprehensive and clear metadata and documentation about the administrative source



#### Input quality- Harmonization and Validation



☐ It is crucial for the NSO to ensure that the transmitted data files are in the required 'readable' format; the databases are structured in a way which can be ingested and read by the NSO's systems

Some indicators can be used to assess the validity including:

- \* Whether or not the variables supplied are correctly named and formatted (e.g., numerical, categorical, text information, etc.),
- \* Whether or not the correct reference period has been supplied
- \* Whether or not the variables match the expected pre-defined content, established through the metadata collected at the Source Stage



#### **Input quality- Linkability**

Input data quality

Harmonization/ Validation

Linkability

Accuracy and reliability

**Timeliness** 

 Assessment of the variables in each administrative data source used in the linkage – informing the design of a successful linkage in the process phase

Some indicators can be used to assess the linkability including:

- \* Percent of unique values or a combination of variables to be used in linkage
  - ex. Percentage of unique Personal Identification Number or combination of age, date of birth and address
- \* Measurement errors within linkage variables
  - -Percentage of missing values, implausible values, etc.
- \* Prevalence of biased distribution
  - is there a significantly higher proportion of out of range or missing values for a key linkage variable(s) within certain geographies



#### Input quality- Accuracy and reliability

# Input data quality

Harmonization/ Validation

Linkability

Accuracy and reliability

Timeliness

- In assessing the accuracy of the input data, NSOs should distinguish between
  - o **'representative errors'** (those relating to the coverage of target population) and
  - 'measurement errors' (those relating to the particular variable being considered).
- Basic indicators to assess representation errors include:
  - the total number of units (persons/housing units) received (for comparison against expected count);
  - the percentage of duplicate units
- A key indicator in assessing under-coverage would be:
  - the percentage of units in the reference source (traditional census or a complete base register) that are missing in the supplied (administrative) source.

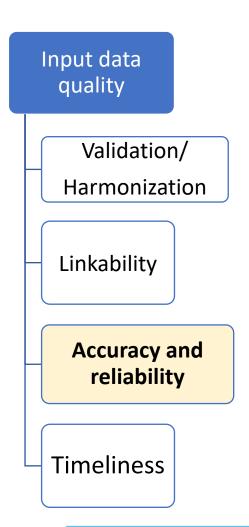
#### while **over-coverage** can be assessed by:

 the percentage of units in the (supplied) source not belonging to the target resident population of the NSO





#### Input quality- Accuracy and reliability



- Assessment of measurement errors
- Basic indicators to measure the completeness of the characteristic variables supplied within administrative datasets at the aggregate level (such as age, sex, ethnicity, etc) include following:
  - number and percentage of missing values within key variables (such as date of birth and sex);
  - number and percentage of out-of-range values within key variables (for example a recorded age of 120 years);
  - number and percentage of implausible values (based on, for example, cross-tabulations of different variables);
  - o prevalence of unexpected frequencies, patterns or outliers, based on frequency/distributional analysis of key variables



#### **Input quality- Timeliness**

# Input data quality

Validation/

Harmonization

Linkability

Accuracy and reliability

Timeliness

Measures of **timeliness** can be determined relatively easily by comparing

- the reference date,
- the specified delivery date, and
- the actual delivery date of the data

Two aspects of timeliness can be assessed by:

- o the difference between date of occurrence and registration
  - the date of capturing any change to the data in the source by the register holder and the date on which the change actually occurred in the population;
- the difference between the date of receipt of the data by the NSO and the date of the reference period to which the data refers,

the longer the delay, the less relevant





### **Process quality**

### **Process** quality Accuracy and record linkage Assessment of Coverage Conflict resolution Quality of editing/imputation

- As data held in an administrative source are not collected for statistical purposes, they must be transformed by the NSO in some way for use in the census.
  - Linkage of data through a common identifier
  - Constructing/updating a statistical population register
  - Data processing
    - Dealing with duplications
    - Conflict resolution
    - Updating and Signs of life method for improving the quality of coverage of statistical population register
    - Editing and imputation
    - Validation of census outputs



#### **Process quality-Accuracy and record linkage**

# Process quality

Accuracy and record linkage

Assessment of Coverage

Conflict resolution

Quality of editing/imputation

- Common methods for assessing linkage quality are:
  - determining the proportion of records that are not, or cannot be, linked
  - the number and percentage of duplicate linkage key
  - absence of linkage keys, or the number of missing or implausible values
    - Comparison of the distributions of characteristics of linked and unlinked records, by for example such variables as age and sex and by region and population sub-groups
      - Differences in characteristics suggest that some bias is introduced by linkage error,



# Process quality- Assessment of the quality of statistical population register

# Process quality

Accuracy and record linkage

Assessment of Coverage

Conflict resolution

Quality of editing/imputation

#### Using signs of life

An increasingly used tool to help minimise over-coverage is the so-called 'signs of life' (SOL) method based on a number of 'rules' that are adopted to ensure that only persons who are alive and meet a set of pre-defined residency criteria are included in the census

#### Using independent surveys and demographic analysis

- In addition to the signs of life approach there are several other methods that are available to estimate the coverage (and, indeed, content error) of censuses.
- These include: simple quality assurance techniques such as internal consistency checks; demographic analyses; comparisons with data from other sources including previous censuses and/or current household surveys



### **Process quality**

# Process quality

Accuracy and record linkage

Assessment of Coverage

Conflict resolution

Quality of editing/imputation

#### Conflict solutions

- When using data from multiple sources, methods are required to assess the quality of variables where the same variable is reported in different registers
  - o Then rules are necessary to determine which value is accurate
- Resolve conflicts in residence address recorded in different registers and identify the most accurate information
  - The NSO will need to decide to which address the census information should refer (perhaps by using, for example, the most recently reported address)



### **Process quality**

## Process quality

Accuracy and record linkage

Assessment of Coverage

Conflict resolution

Quality of editing/imputation

#### Assessing the quality of the editing and imputation process

- Editing and imputation is an iterative process of reviewing the data in order to correct any errors resulting from invalid, inconsistent or implausible and for missing values
  - a number of indicators can be used, such as edit failure rate, adjustment rate, imputation rate, dissimilarity index



#### **Output quality**

- Regardless of the type of data collection methodology used for the census, it is equally important for NSOs to assess the quality of the output
- It is particularly important for those NSOs that have moved to a register-based or combined census data collection methodology to assess the quality of outputs, to determine whether or not the transition has affected the overall quality of the outputs

Traditional census

Combined census

Register-based census



#### **Conclusions**

- ☐ Each country should plan the process of the transition based on
  - availability of administrative data sources
  - assessment of the quality of administrative data source and the quality of input data
- The transition should be planned gradually,
  - introducing more administrative data sources and variables each time, providing that the registers have been proven to be of good quality
- □ As a result of the transition, there may be some changes to definitions of variables, population bases and output classifications
  - The impact of these changes on the quality of statistical outputs should be assessed and the outcomes should be explained to users



### Reference documents

UNECE Guidelines for assessing the quality of administrative sources for use in censuses <a href="https://unece.org/statistics/publications/CensusAdminQuality">https://unece.org/statistics/publications/CensusAdminQuality</a>

UNSD Handbook on Registers-Based Population and Housing Censuses <a href="https://unstats.un.org/UNSDWebsite/statcom/session\_53/documents/BG-3e-Handbook-E.pdf">https://unstats.un.org/UNSDWebsite/statcom/session\_53/documents/BG-3e-Handbook-E.pdf</a>

# Thank you...

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